



PATENT APPLICATION TRANSMITTAL LETTER

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

Docket No. 125350

Transmitted herewith for filing of the patent application of Louis D. Faló, Jr. and Kenneth L. Rock for STIMULATION OF CELL-MEDIATED IMMUNE RESPONSES BY TARGETED PARTICULATE GENETIC IMMUNIZATION.

Enclosed are:

- 6 sheets of drawings (informal, 3 sets)
- 36 pages of specification, including 67 claims
- Declaration under 37 C.F.R. § 1.821(f)
- Paper (pages        the of specification) and computer readable form of Sequence Listing
- ☒ UNSIGNED Declaration/Power of Attorney (attached after Abstract)
- verified statement to establish Small Entity Status under 37 C.F.R. 1.9 and 1.27
- ☒ Certificate of Express Mail ("Express Mail" mailing no. TB780337558US)
- ☒ Communication re Late Filing of Declaration and Filing Fee

CLAIMS AS FILED

SMALL ENTITY

Other than a  
Small Entity

FOR	NO. FILED	NO. EXTRA
Basic fee		
Total Claims	67-20	47
Indep Claims	5-3	2
multiple dependent claim present no		

RATE	FEE
	\$ 365
X \$11 =	\$
X \$38 =	\$
X \$120 =	\$
TOTAL	\$

RATE	FEE
	\$ 730
X \$22 =	\$ 1034
X \$76 =	\$ 152
X \$240 =	\$ -
TOTAL	\$ 1,916

If the difference in Col. 1 is less than zero, enter "0" in Col. 2

- Please charge my Deposit Account No. 07-1745 in the amount of \$ xxx.
- ☒ A duplicate of this sheet is enclosed.
- ☒ Please see COMMUNICATION RE LATE FILING OF DECLARATION AND FILING FEE regarding payment of the filing fee, submitted herewith.
- The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 07-1745. A duplicate copy of this sheet is enclosed.
  - Any additional filing fees required under 37 C.F.R. 1.16.
  - Any patent application processing fees under 37 C.F.R. 1.17.

Sept. 28, 1995  
Date

Stephan Gribok  
Stephan P. Gribok  
Registration No. 29,643  
ECKERT SEAMANS CHERIN & MELLOTT  
1700 Market Street Suite 3232  
Philadelphia, PA 19103  
(215) 575-6022



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Louis D. Falo, Jr. and Kenneth L. Rock

Serial No.: Not yet known

Filing Date: Submitted herewith

Atty. Docket No: 125350

FOR: STIMULATION OF CELL-MEDIATED IMMUNE RESPONSES BY TARGETED  
PARTICULATE GENETIC IMMUNIZATION

**COMMUNICATION RE LATE FILING OF DECLARATION AND FILING FEE**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
BOX PATENT APPLICATION

Sir:

Submitted herewith is the above-identified complete patent application of Louis D. Falo, Jr. and Kenneth L. Rock. The application is complete but lacks a signed declaration and filing fee. Applicant respectfully requests that a Notice of Missing Parts (filing date accorded) indicating the serial number and filing date be forwarded to the undersigned, whereupon a signed declaration, filing fee, and the surcharge due under 37 CFR 1.16 will be filed.

Respectfully submitted,

Date: Sept. 28, 1995

Stephan P. Gribok  
Registration No. 29,643  
ECKERT SEAMANS CHERIN & MELLOTT  
Suite 3232, 1700 Market Street  
Philadelphia, PA 19103  
(215) 575-6022



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Louis D. Falo, Jr. and Kenneth L. Rock

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PARTICULATE GENETIC IMMUNIZATION

**CERTIFICATE OF EXPRESS MAIL**

Assistant Commissioner for Patents  
Washington, D.C. 20231  
BOX PATENT APPLICATION

Sir:

I hereby certify that this document, namely the above-identified complete patent application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to the Assistant Commissioner of Patents and Trademarks, Washington, D.C. 20231.

"Express Mail" mailing label number TB780337558US

Date of Deposit September 28, 1995.

Respectfully submitted,

Stephan P. Gribok  
Registration No. 29,643  
ECKERT SEAMANS CHERIN & MELLOTT  
1700 Market Street, Suite 3232  
Philadelphia, PA 19103  
(215) 575-6022